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Attorney Docket No.: E02P01US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Certificate of Mailing**

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Gudrun E. Huckett  
Gudrun E. Huckett, Patent Agent

Applicant: Marcus Menden et al.

Serial No: 09/869,184

US Filed: 6/20/2001

For: Illumination Method, Illumination System and the Components Thereof,  
Especially for Illuminating Hollow Bodies such as Signs, Inscriptions, Letters,  
Especially Relief Letters and the Like as well as a Sign, an Inscription and  
a Letter, Especially Relief Letter with Illumination

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**REQUEST FOR CORRECTION OF FILING RECEIPT AND  
CORRECTION OF FILING DATE**

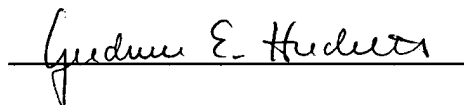
The undersigned received a filing receipt dated 8/14/2001 for the above identified application in which the **filing date and the number of independent claims is incorrect.**

The application was filed on **Jun 20, 2001**, as evidenced by the Express Mail label (copy enclosed) and the Express Mail tracking report which can be found on the USPS website (see enclosed copy). Also, the Certificate of Mailing on the transmittal letter signed by the undersigned of this correspondence states that the application was mailed on June 20, 2001 (see enclosed copy). Please correct the filing date of this application accordingly.

The application was filed with a preliminary amendment submitting a total of **three** independent claims (see claims fee calculation portion of PTO-1390). A copy of the filing receipt with the corrected data shown in red ink and highlighted in yellow is enclosed. It is respectfully requested that a corrected filing receipt be issued.

Authorization is herewith given to charge any fees or any shortages in any fees required during prosecution of this application and not paid by other means to Patent and Trademark Office deposit account 50-1199.

Respectfully submitted on August 31, 2001,



Gudrun E. Hockett, Ph.D.  
Registration No. 35,747

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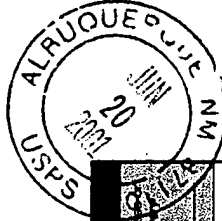
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TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO: (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO:  
PCT/DE00/03701INTERNATIONAL FILING DATE  
10/20/2000PRIORITY DATE CLAIMED  
10/20/1999

**TITLE OF INVENTION** Illumination Method, Illumination System and the Components Thereof, Especially for Illuminating Hollow Bodies such as Signs, Inscriptions, Letters, Especially Relief Letters and the Like as well as a Sign, an Inscription and a Letter, Especially Relief Letter with Illumination

APPLICANT(S) FOR DO/EO/US

Marcus Menden

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3 ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4 ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5 ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
  - b. ☒ has been communicated by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6 ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
- 7 ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau)
  - b. ☐ have been communicated by the International Bureau
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired
  - d. ☐ have not been made and will not be made
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
- 9 ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
- 10 ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))

## Items 11 to 20 below concern document(s) or information included:

- 11 ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98
- 12 ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3128 and 3.31 is included
- 13 ☒ A **FIRST** preliminary amendment
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- 15 ☐ A substitute specification
- 16 ☐ A change of power of attorney and/or address letter
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 35 U.S.C. 1.821 - 1.825
- 18 ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
- 19 ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)
- 20 ☒ Other items or information:  
Application Data Sheet

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Gudrun E. Hockett  
Gudrun E. Hockett, Patent Agent



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| APPLICATION NUMBER | FILING DATE                         | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------------------------------|--------------|---------------|----------------|----------|------------|------------|
| 09/869,184         | <del>06/21/2001</del><br>06/20/2001 | 2875         | 592           | E02P01US       | 5        | 38         | 3          |

CONFIRMATION NO. 2261

Gudrun E Hockett  
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## FILING RECEIPT



\*OC000000006422342\*

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Marcus Menden, Essen, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/03701 10/20/2000

## Foreign Applications

GERMANY 199 50 639.6 10/20/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

## Title

Illumination method, illumination system and the components thereof, especially for illuminating hollow bodies such as signs, inscriptions, letters, especially relief letters and the like as well as a sign, an inscription and a letter, especially relief letter with illumination

## Preliminary Class

362

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